

FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PM&S
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED

GENE THERAPY VECTORS AND THEIR USE IN ANTITUMOUR THERAPY

the specification of which (CHECK applicable BOX(ES))

X ☐ is attached hereto.

BOX(ES) ☒ was filed on November 14, 2000 as U.S. Application No. 09/700,259

☒ was filed as PCT International Application No. PCT/GB99/01362 on 17 MAY 1999

-> and (if U.S. or PCT application amended) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)

Number	Country	Date first Laid-open or Published	Date Patented or Granted	Priority Claimed
				Yes No
9810423.5	UNITED KINGDOM	15 MAY 1998		X

PCT/GB99/01362 PCT 17 MAY 1999

I hereby claim domestic priority benefit under 35 U.S.C. 119/120/365 of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)	Date/MONTH/Year Filed	Status	Priority Claimed
		pending, abandoned, patented	Yes No
PCT/GB99/01362	17 MAY 1999	PENDING	X

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16772	George M. Sirilla	18221	G. Paul Edgell	24238	Richard H. Zaitlen	27748
Raymond F. Lippitt	17519	Donald J. Bird	25372	Lynn E. Eccleston	35861	Roger R. Wise	31204
G. Lloyd Knight	17698	Peter W. Gowdey	25872	David A. Jakopin	32995	Jay M. Finkelstein	21082
Carl G. Love	18781	Dale S. Lazar	28872	Mark G. Paulson	30793	Anita M. Kirkpatrick	32617
Edgar H. Martin	20534	Glenn J. Perry	28458	Timothy J. Klima	34852	Michael R. Dzwonczyk	36787
William K. West, Jr.	22057	Kendrew H. Colton	30368	Stephen C. Glazier	31361		
Kevin E. Joyce	20508	Paul E. White, Jr.	32011	Paul F. McQuade	31542		
David W. Brinkman	20817	Michelle N. Lester	32331	Ruth N. Morduch	31044		

1. INVENTOR'S SIGNATURE

GEOFFREY P MARGISON UNITED KINGDOM
Inventor's Name (typed) First Middle Initial Family Name Country of Citizenship
Residence (City) CHESHIRE (State/Foreign Country) UNITED KINGDOM
Post Office Address (Include Zip Code) HILLTOP BUNGALOW, LYME ROAD, POYNTON, CHESHIRE, SK12 1TH

2. INVENTOR'S SIGNATURE

BRIAN P MARPLES UNITED KINGDOM
Inventor's Name (typed) First Middle Initial Family Name Country of Citizenship
Residence (City) BUCKINGHAMSHIRE (State/Foreign Country) UNITED KINGDOM
Post Office Address (Include Zip Code) 19 ESKDALE AVENUE, CHESHAM, BUCKINGHAMSHIRE, HP5 3AX

3. INVENTOR'S SIGNATURE

SIMON P SCOTT UNITED KINGDOM
Inventor's Name (typed) First Middle Initial Family Name Country of Citizenship
Residence (City) BUCKINGHAMSHIRE (State/Foreign Country) UNITED KINGDOM
Post Office Address (Include Zip Code) THE BOSTON COTTAGE, BALLINGER COMMON, BALLINGER ROAD, GREAT MISSENDEN BUCKINGHAMSHIRE HP16 9LF

(FOR ADDITIONAL INVENTORS, check box ☒ and attach sheet (PAT-116.2) for same information for each re signature, name, date, citizenship, residence and address.)

DECLARATION AND POWER OF ATTORNEY

(continued)

ADDITIONAL INVENTORS:

Page 2

INVENTOR'S SIGNATURE: [Signature] Date 14/6/01
Inventor's Name (typed) JOLYON H HENDRY UNITED KINGDOM
Residence (City) CHESHIRE GBX UNITED KINGDOM
Post Office Address (Include Zip Code) MEADOWSIDE BROOKLEDGE LANE, ADLINGTON, MACCLESFIELD, CHESHIRE
SK10 4JU

5. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

6. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

7. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

8. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

9. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

10. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

11. INVENTOR'S SIGNATURE: _____ Date _____

Inventor's Name (typed) _____
Residence (City) _____
Post Office Address (Include Zip Code) _____

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.

Applicant/Patent GEOFFREY PAUL MARGISON, ET AL
Serial No./Patent No.: 09/700,259
Filed or Issued: November 14, 2000
For: GENE THERAPY VECTORS AND THEIR USE IN ANTITUMOUR THERAPY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled GENE THERAPY VECTORS AND THEIR USE IN ANTITUMOUR THERAPY described in.

☐ the specification filed herewith
☒ application serial no. 09/700,259
filed November 14, 2000
☐ patent no. _____ issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☐ no such person, concern, or organization
☐ persons, concerns or organizations listed below *

* NOTE: Separate verified statements are required from each person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. 1.27)

FULL NAME CANCER RESEARCH CAMPAIGN TECHNOLOGY LIMITED
ADDRESS CAMBRIDGE HOUSE, 6-10 CAMBRIDGE TERRACE, REGENT'S PARK, LONDON NW1 4JL
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN
☒ NON-PROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN
☐ NON-PROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN
☐ NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in the loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

I hereby declare that all statements made herein on my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

GEOFFREY PAUL MARGISON BRIAN MARPLES SIMON SCOTT JOLYON HINDSON HENDRY

NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
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G.P. Margison

Brian Marples

Simon Scott

Jolyon Hindson

Signature of Inventor

Signature of Inventor

Signature of Inventor

Signature of Inventor

14/6/01
Date

01/10/01
Date

1/10/01
Date

14/6/01
Date